

# **Exhibit IND1**

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IN THE UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

NEUROGRAFIX, a California	)	
corporation; WASHINGTON	)	
RESEARCH FOUNDATION, a	)	
not-for-profit Washington	)	
corporation,	)	
	)	
Plaintiffs,	)	No. CV 10-1990
	)	(MRP) (RZX)
vs.	)	
	)	
SIEMENS MEDICAL SOLUTIONS	)	
USA, INC., a Delaware	)	
corporation and SIEMENS	)	
AKTIENGESELLESCHAFT, a	)	
German corporation,	)	
	)	
Defendants.	)	
	)	
<u>AND RELATED CROSS-ACTION.</u>	)	
	)	

VIDEOTAPED DEPOSITION OF  
MICHAEL BRANT-ZAWADZKI, M.D.  
Los Angeles, California  
Tuesday, August 16, 2011

Reported By:  
LISA MOSKOWITZ, CSR 10816, RPR, CLR  
Job No. 41126

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August 16, 2011

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9:55 a.m.

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Videotaped Deposition of MICHAEL

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BRANT-ZAWADZKI, M.D., held at the offices of

11

Russ, August & Kabat, 12424 Wilshire Boulevard,

12

12th Floor, Los Angeles, California, pursuant

13

to Notice before Lisa Moskowitz, Certified

14

Shorthand Reporter and Registered Professional

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Reporter of the State of California.

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1 A P P E A R A N C E S:

2 RUSS AUGUST & KABAT

3 Attorney for the Plaintiffs

4 12424 Wilshire Boulevard

5 Los Angeles, CA 90025

6

7 BY: MARC A. FENSTER, ESQ.

8 FREDRICKA UNG, ESQ.

9 ANDREW D. WEISS, ESQ.

10

11 KIRKLAND & ELLIS

12 Attorneys for the Defendants

13 655 Fifteenth Street, N.W.

14 Washington, D.C. 20005

15

16 BY: GREGG F. LOCASCIO, ESQ.

17 CHRISTOPHER R. NALEVANKO, ESQ.

18

19 ALSO PRESENT:

20 COURTNEY BATES, Videographer

21

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24

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1 THE VIDEOGRAPHER: This marks the 09:35  
2 start of disk No. 1 in the videotaped  
3 deposition of Michael Brant-Zawadzki in  
4 the matter of NeuroGrafix versus  
5 Siemens, et al., in the Central District 09:54  
6 Court of California, Western Division,  
7 Case No. CV 10-1990 (MRP) (RZX). This  
8 deposition is being held today at  
9 12424 Wilshire Boulevard on the 12th  
10 floor in Los Angeles, California on 09:54  
11 August 16, 2011, at approximately  
12 9:55 a.m. My name is Courtney Bates,  
13 and I'm here from TSG Reporting, Inc.  
14 I'm the legal video specialist, and I'm  
15 here with our court reporter, Lisa 09:55  
16 Moskowitz, in association with TSG  
17 Reporting.

18 At this time will counsel please  
19 give your appearances for the record.

20 MR. LoCASCIO: Sure. Gregg 09:55  
21 LoCascio and Chris Nalevanko on behalf  
22 of the defendants Siemens.

23 MR. FENSTER: Marc Fenster along  
24 with Fredricka Ung and Andrew Weiss on  
25 behalf of plaintiff NeuroGrafix and the 09:55

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1 witness. 09:55

2 THE VIDEOGRAPHER: Thank you. And  
3 the reporter may now swear or affirm the  
4 witness.

5 M I C H A E L B R A N T - Z A W A D Z K I, M. D. 09:55

6 called as a witness, having been duly  
7 sworn, was examined and testified as  
8 follows:

9 EXAMINATION

10 BY MR. LoCASCIO: 09:55

11 Q. Good morning, sir.

12 A. Morning.

13 Q. Can you pronounce your name just so I  
14 make sure I get it right.

15 A. Michael Brant-Zawadzki. 09:55

16 Q. Brant-Zawadzki?

17 A. Correct.

18 Q. You're a doctor; correct?

19 A. I am a doctor.

20 Q. Dr. Brant-Zawadzki, you have been 09:55

21 hired by NeuroGrafix to provide expert  
22 testimony in this matter; correct?

23 A. Yes.

24 Q. And how much are you being paid an  
25 hour for your testimony? 09:56

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1 has been something that's been in existence 10:12  
2 probably since the early '80s.

3 Q. And when was the first time you used  
4 T2 weighting in connection with an MRI image of  
5 a patient? 10:13

6 A. We actually wrote one of the earliest  
7 papers on the value of T2 weighting for  
8 evaluating brain pathology. So I think that  
9 was in about '82, '83 timeframe. I'd have to  
10 check my CV to give you the exact date. 10:13

11 Q. And while, from your earlier answer,  
12 it may not have been the goal or challenge of  
13 your work at the time, did you ever in that  
14 window, call it the 1980s, image a patient  
15 using T2 weighting where a nerve was visible in 10:13  
16 the image?

17 A. Certainly there are many instances of  
18 doing studies on patients where nerves are  
19 visible on the image.

20 Q. Those would predate 1993? 10:13

21 A. Yes.

22 Q. Using T2 weighting?

23 A. Correct.

24 Q. And have you, as of today, ever in  
25 your practice attempted to measure the 10:14

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1 conspicuity of a nerve using a mathematical or 10:14  
2 qualitative formula -- quantitative formula?

3 A. In my practice?

4 Q. Correct.

5 A. I have not. 10:14

6 Q. Have you done it in connection with  
7 this matter?

8 A. Yes, I have.

9 Q. Was the first time you ever measured  
10 the conspicuity of a nerve using a quantitative 10:14  
11 method after you were retained in this case?

12 A. Yes.

13 Q. And your opening expert report  
14 contains no such calculations; correct?

15 A. I'd have to look at my opening expert 10:14  
16 report. I don't remember whether -- I don't  
17 even know what you mean by "the opening  
18 report."

19 Q. Sure.

20 A. So I can't answer. 10:14

21 Q. Did you run calculations to  
22 quantitatively measure conspicuity in  
23 connection with your work on this matter and  
24 not include any of those in your report?

25 MR. FENSTER: Objection. Vague. 10:15



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1 THE WITNESS: No, I don't think so. 10:15

2 I think the only -- no, I don't think  
3 so.

4 BY MR. LoCASCIO:

5 Q. So there -- you did not run a bunch 10:15  
6 of calculations and for either brevity or  
7 because they were inconsistent with your  
8 opinions leave those out?

9 A. I don't think I was ever asked to  
10 personally do that. I was asked to review 10:15  
11 Dr. Bryan's without calculating but just look  
12 at his calculations. I don't think I ever went  
13 through the exercise of doing that myself,  
14 though.

15 Q. Okay. 10:15

16 A. For conspicuity or specifically  
17 asking.

18 Q. So before you were hired in this  
19 case, you never quantitatively calculated  
20 conspicuity. Fair? 10:15

21 A. Of --

22 Q. Of a nerve.

23 A. Of a peripheral nerve, that's  
24 correct.

25 Q. Have you done it with any nerve where 10:16

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1           you measured quantitatively conspicuity?           10:16

2           A.    No.

3           Q.    And since you were retained and have  
4           provided your opinions in this case, have you  
5           personally done any quantitative calculation of  
6           nerve conspicuity?           10:16

7           A.    Not nerve conspicuity, per se.

8           Q.    You reviewed Dr. Bryan's  
9           calculations. We'll talk about that today.  
10           Are you relying on anyone else's           10:16  
11           calculations? So is there an assistant, for  
12           instance, in a lab or Dr. Filler ran some  
13           calculations and provided them to you or  
14           anything like that?

15           A.    No.   10:16

16           Q.    Are you relying on any actual  
17           quantitative calculations of nerve conspicuity  
18           to support your opinions?

19           A.    I'm relying on some of the examples  
20           that Dr. Bryan gave to demonstrate that you can 10:17  
21           make calculations of conspicuity in the way  
22           that he did.

23           Q.    Your --

24           A.    Let me qualify the term "relying." so  
25           I'm including in my opinions rather than           10:17

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1       relying on. Okay? So I don't want to imply   10:17  
2       I'm relying only on that. I have an opinion.  
3       But noting what Dr. Bryan did and how he did it  
4       is part of my opinion.

5             Q.    You disagree with the way Dr. Bryan   10:17  
6       did it. Fair?

7             A.    I wouldn't say I disagree with the  
8       way he did it. I would say that there are --  
9       in the spectrum of what he did, we have some  
10      disagreements. I think he demonstrated how a   10:17  
11      radiologist, one skilled in the art to use the  
12      legal phrase, creates a region of interest and  
13      how one does the mathematics of a calculation.  
14      So I agree with those concepts that he well  
15      demonstrated in his work.                           10:18

16                 I think the spectrum of what he did  
17      is not necessarily in the relatively confined  
18      concepts that are dictated for the conspicuity  
19      measurement as dictated by the patent or as  
20      implied by the patent.                           10:18

21             Q.    In your experience have you ever  
22      chosen a region of interest of a nerve using an  
23      MRI machine?

24             A.    Well, certainly of the spinal cord.  
25      Have I ever done it for a nerve, a region of   10:18

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1 interest in a nerve? I don't remember that I 10:18  
2 have.

3 Q. And the spinal cord would be part of  
4 the central nervous system?

5 A. Yes. 10:19

6 Q. And that, as you understand it, is  
7 not what the 360 patent relates to. Fair?

8 A. Fair.

9 Q. And if I remember right, the 360  
10 patent identifies three types of nerves 10:19  
11 specifically, cranial nerves 3 through 12, the  
12 peripheral nerves, and the -- is it autonomic  
13 nerves?

14 A. I believe it does -- I remember  
15 something about the autonomic nerves being 10:19  
16 included somewhere in the text.

17 Q. Have you ever selected a region of  
18 interest for a cranial nerve 3 through 12?

19 A. You know, I may have in that we do  
20 stereotactic radiosurgery with a Gamma Knife in 10:19  
21 our institution, and occasionally the  
22 neurosurgeon will ask us to identify the fifth  
23 nerve which is a cranial nerve. So I think I  
24 have in that context, yes.

25 Q. Fifth is trigeminal? 10:20

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1 A. Correct. 10:20

2 Q. Have you ever selected a region of  
3 interest in a peripheral nerve?

4 A. I don't think I have. I may have for  
5 the purposes of a slide. I think I may have 10:20  
6 because I do a lot of electroing, and I may  
7 have identified the nerve in the foramen of the  
8 spinal canal or the foramen through which the  
9 nerve exits the spinal canal and drawn it for  
10 the purposes of demonstrating the relationship 10:20  
11 of the peripheral nerve to the bony confine.  
12 So I may have done it in that context.

13 Q. Have you ever selected a region of  
14 interest for a autonomic nerve?

15 A. Not that I can recall. 10:20

16 Q. With respect to your answer a moment  
17 ago about the peripheral nerve, were you  
18 indicating that you've identified a peripheral  
19 nerve in the slide, for instance, at a  
20 presentation or that you have actually selected 10:21  
21 a region of interest and calculated the  
22 intensity of a peripheral nerve?

23 A. The former.

24 Q. So perhaps my question could have  
25 been more artfully worded. 10:21

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1 Have you ever used an MRI machine to 10:21  
2 select a region of interest and measure the  
3 intensity of a peripheral nerve?

4 A. Not that I can recall, no.

5 Q. And have you ever measured the 10:21  
6 intensity in a selected region of interest for  
7 cranial nerve 3 through 12?

8 A. Signal intensity?

9 Q. Uh-huh.

10 A. Not that I can recall, no. 10:21

11 Q. Okay. And to, as you understand the  
12 360 patent, measure the conspicuity of such a  
13 nerve, you would need to measure the signal  
14 intensity of the nerve and then measure the  
15 signal intensity of some non-neural tissue and 10:21  
16 compare those. Agreed?

17 A. Yes.

18 Q. And am I correct that you have never  
19 done that?

20 A. Outside of this case -- well, even in 10:22  
21 this case I personally have not done that,  
22 correct.

23 Q. You've selected regions of interest  
24 with respect to non-neural tissue in your  
25 career using an MRI. Fair? 10:22

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1 A. Yes. 10:22

2 Q. So tumors, lesions, things like that?

3 A. Even neural tissue, abnormal neural  
4 tissue probably at some point in the past, yes.

5 Q. And when you've done that, is there 10:22  
6 some -- let me back up.

7 Is medicine, in your view in  
8 neurology -- pardon me. Withdrawn.

9 Is radiology all science, or is there  
10 some art in the practice? 10:22

11 MR. FENSTER: Objection. Vague.

12 THE WITNESS: Well, you know, I  
13 think medicine in general is thought of  
14 as being a science with some degree of  
15 art in it. So in that broad term there 10:23  
16 is that sense in the general public  
17 concept, I guess, around medicine. And  
18 in radiology, you know, we're more  
19 schooled in the concepts of anatomy and  
20 measurements. So there is some degree 10:23  
21 of known inter- and intra-observer  
22 variability even for measuring a linear  
23 distance.

24 For instance, if you ask a set of  
25 radiologists to measure the size of any 10:23

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1 actually that we referred them to Pasadena and 10:49  
2 Dr. Tsuruda specifically.

3 Q. We talked about several different  
4 ways to measure the or select the ROI and thus  
5 the term signal intensity of a piece of image. 10:50  
6 What method for selecting an ROI does the 360  
7 patent teach?

8 A. Well, it teaches the selection of the  
9 entire nerve. Well, it kind of depends on  
10 which portion of the patent, I think, that 10:50  
11 you're referring to. So there's one section  
12 where there's specific mention of selecting the  
13 nerve, and my memory of it is that it  
14 specifically talks about a fascicle pattern and  
15 cross-sectional type imaging of a nerve. So 10:50  
16 there's quite specific portions of how to do  
17 that in that portion of the patent if that's  
18 what you're referring to. I don't know what  
19 you're referring to. You'd have to be a little  
20 bit more specific, I guess, in your question. 10:51

21 Q. You could --

22 A. What portion of the patent, I guess,  
23 is my response.

24 Q. You understand the patent has claims;  
25 correct? 10:51



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1 A. Yes. 10:51

2 Q. Do the claims, in your view as one of  
3 skill in the art, require you to use any  
4 particular ROI or method of selecting an ROI,  
5 or is that left to the physician? 10:51

6 MR. FENSTER: Objection. Vague,  
7 legal conclusion.

8 THE WITNESS: It doesn't -- as I  
9 remember the patent, it doesn't  
10 specifically say use the oval tool or 10:51  
11 use the circular tool or use the free  
12 trace tool. So in that sense there's  
13 not a specific mention of which tool.

14 BY MR. LoCASCIO:

15 Q. You've seen instances in the art 10:51  
16 where -- in publications, for instance, people  
17 identified what their region of interest was  
18 across images or in a particular -- for  
19 instance, a five-pixel-by-five-pixel square or  
20 four-pixel-diameter oval? You've seen that 10:52  
21 before; correct?

22 A. Where people select that or are told  
23 to select that?

24 Q. Described how they selected the ROI.

25 A. Where they themselves described how 10:52

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1           they do that? Yes, of course. I think that's 10:52  
2           not uncommon in a research paper to say here's  
3           how I did it for purposes of reproducibility by  
4           another researcher. So yes, people in  
5           publications often describe the methodology 10:52  
6           because that's an important part of publishing  
7           a paper is for other researchers to understand  
8           how that particular set did it and the  
9           limitations of that, if any, and its ability to  
10          be reproduced. 10:52

11                 Q. And to reproduce it you'd want to use  
12           the same parameters that the author used?

13                         MR. FENSTER: Objection.

14                         THE WITNESS: If you're doing  
15           research and you're trying to validate 10:52  
16           whether or not the author's -- I mean --  
17           so I guess what I'm trying to say is  
18           that they're -- what people write in a  
19           research paper has a specific purpose  
20           over and above -- well, outside of my 10:53  
21           understanding of what a patent does,  
22           right.

23                         BY MR. LoCASCIO:

24                 Q. And your understanding of what a  
25           patent does comes from what the lawyers told 10:53

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1           you because this is your first patent case?           10:53

2           A.   Well, so it's my first patent case  
3           that I'm a participant in, but I'm certainly  
4           aware of famous patents and famous patent cases  
5           certainly in MR because it's a field that I've   10:53  
6           grown up with. I was one of the earliest  
7           investigators in the field, and I paid  
8           attention to patent discussions. It's not just  
9           what the lawyers told me.

10                   I have a background from just           10:53  
11           participating in, again, the development of MR  
12           machines is one I've actually invested in but  
13           also academically. So I'm aware of how people  
14           construct patents. And it's not just what the  
15           lawyers told me in this case.           10:54

16                   I'm sorry I'm wandering here.

17           Q.   Sir, you're not an expert in patent  
18           law, are you?

19           A.   Absolutely not.

20           Q.   And you're not an expert in           10:54  
21           drafting --

22           A.   No. I'm sorry.

23           Q.   You've never drafted a patent?

24           A.   I've never drafted a patent.

25           Q.   Until -- as of today you've never           10:54

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1 even been the named inventor on a filed patent; 10:54  
2 correct?

3 A. Again, I don't know if ours, the one  
4 I mentioned earlier, is filed or not. That's  
5 correct. 10:54

6 Q. Is this effort that you're doing in  
7 this case the first time you ever read cover to  
8 cover a United States patent?

9 A. No, it's not actually. So I actually  
10 am an investor in another company that has a 10:54  
11 patent, although I'm not named on it. We are  
12 in actually a patent litigation -- that company  
13 is in a patent litigation situation. And so I  
14 have read that patent. It's been a while.

15 Q. What's the name of that company? 10:55

16 A. It's called DatCard.

17 Q. D-a-t-C-a-r-d?

18 A. Yes.

19 Q. With respect to how to select a  
20 region of interest in the 360 patent, does the 10:55  
21 patent describe a particular size or shape  
22 region of interest to use?

23 A. No.

24 Q. And does the 360 patent describe a  
25 particular method that must be used to measure 10:55

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1 the region of interest to measure signal 10:55  
2 intensity?

3 A. My memory is that it does. Again, in  
4 certain portions of the patent, there are some  
5 specific mentions of how to select the region 10:55  
6 of interest. I'd have to reread that specific  
7 section to be more specific.

8 Q. Is your recollection --

9 A. Can I excuse myself before you start  
10 just for a brief visit to the restroom? 10:55

11 Q. Yeah. Can I ask one more question on  
12 this?

13 A. Sure.

14 Q. And then we'll take a break, and it's  
15 a fine time. 10:56

16 A. Okay.

17 Q. Does the -- in your recollection does  
18 the patent provide several options as to how to  
19 do it, or does the patent tell you this is the  
20 way to select an ROI? 10:56

21 A. Well, my memory of a certain section  
22 is that it talks about selecting the whole  
23 nerve and how to do it, and I'd have to, again,  
24 go back to that portion of the patent. But I  
25 remember there being a certain point where 10:56

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1 THE WITNESS: For the purposes of 11:23  
2 determining conspicuity of nerves, the  
3 patent speaks for itself, yes.

4 BY MR. LoCASCIO:

5 Q. You've seen other formulas and other 11:23  
6 methods of measuring conspicuity; right?

7 A. I've seen other methods with  
8 different -- with X-ray techniques with -- yes.

9 Q. Even in MR; correct?

10 A. I don't know if I've seen other 11:23  
11 specific -- I don't remember that I've -- the  
12 last time I looked at something that said  
13 here's the conspicuity of something in MR. I  
14 don't remember that I have. I may have. I  
15 just don't remember that. 11:23

16 Q. Have you ever seen a method of  
17 measuring conspicuity in MR that took noise  
18 into account?

19 A. Well, I'm certainly familiar with the  
20 concept of noise in some aspects of measuring 11:24  
21 conspicuity. Noise is certainly a standard way  
22 of measuring certain things in radiography. So  
23 if we're talking about spatial resolution,  
24 distinction of edges from -- or the distinction  
25 of distances between lead lines on an X-ray 11:24

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1 film, noise can play a significant factor as 11:24  
2 you get to finer and finer spaces between two  
3 different edges.

4 In the case of MR, particularly in  
5 certain techniques, noise may be a minimal 11:24  
6 factor as I think it is in this case. So  
7 noise -- if one creates a technique where noise  
8 is minimal or suppression of structures and  
9 such, one can choose to define, for the  
10 purposes of the technique, conspicuity without 11:24  
11 using the noise variable.

12 Q. And as you understand this particular  
13 patent, noise is disregarded for the purposes  
14 of measuring conspicuity?

15 A. Clearly the equation that the patent 11:25  
16 uses disregards noise, yes. And I think for a  
17 good reason. Noise is something that's in the  
18 background. But when you're comparing a nerve  
19 to immediately adjacent background tissue, the  
20 noise is going to be quite homogeneous and 11:25  
21 likely given the technique very, very low. So  
22 I think it's fair to disregard noise in the way  
23 the authors of the patent did.

24 Q. You earlier were identifying a  
25 comparison between I believe it was brain 11:25

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1 tissue and the contrast agent. Was that 11:25  
2 correct?

3 A. Blood within the brain --

4 Q. Pardon me.

5 A. -- and contrast agent, yes. 11:25

6 Q. And I take it from your raising that  
7 example, those are closer in intensity than  
8 some other things that you've imaged but still  
9 distinguishable visually; correct?

10 A. They can overlap depending on certain 11:26  
11 factors. They can overlap. So there's a  
12 threshold above which blood doesn't appear. So  
13 blood has density values, Hounsfield units  
14 which in MR universe would be intensity units.  
15 The numerical values that represent the signal 11:26  
16 on CT are such that the blood goes up to a  
17 certain level and not beyond, whereas contrast  
18 goes beyond that. Below that level both blood  
19 and contrast can appear -- can have the same  
20 value. 11:26

21 So contrast -- let's say blood -- on  
22 a particular scanner blood does not go above 90  
23 in Hounsfield values. Contrast can go up to  
24 160. But if you have an add mixture of  
25 contrast in a normal brain, it can be 80 or 85 11:26



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1 the 360 patent, measure the conspicuity of that 11:29  
2 nerve?

3 MR. FENSTER: Objection. Vague,  
4 incomplete hypothetical.

5 THE WITNESS: If you cannot 11:29  
6 identify the nerve?

7 BY MR. LoCASCIO:

8 Q. Visually.

9 A. Visually. The patent has certain  
10 techniques that help enhance the nerve which 11:29  
11 then make it visually visible, if you will. So  
12 the patent does provide certain guidelines,  
13 certain techniques for what initially you may  
14 wonder is this a nerve or is it not a nerve,  
15 provides certain guidelines that make the nerve 11:29  
16 visible.

17 Q. Things like fat suppression?

18 A. Fat suppression and diffusion,  
19 fascicular pattern, yes.

20 Q. If you use all those techniques that 11:29  
21 you believe are described in the 360 patent, in  
22 order to actually quantifiably measure the  
23 conspicuity to determine if it's 1.1 versus  
24 1.08 versus 1.12, you would need to visually be  
25 able to identify the nerve to select the 11:30

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1 regions of interest; correct? 11:30

2 A. At some point, yes. At some point --  
3 yes. Again, as we said before, the first step  
4 is identifying the structure. If you're not  
5 sure is there such a structure, then you go to 11:30  
6 the extent the patent directs you to bring out  
7 the structure, and once you've brought it out,  
8 then you go to the next steps.

9 Q. With respect to peripheral nerves in  
10 some locations, they're really small and hard 11:30  
11 to see. Fair?

12 A. Fair.

13 Q. And if you perform all those  
14 techniques that are talked about in the 360  
15 patent, there could still be a situation where 11:30  
16 you visually can't see the nerve and thus can't  
17 measure its conspicuity. Fair?

18 A. Fair.

19 Q. And do you know where that point is?  
20 Is it 1.0001? Is it 1.1? Is it 1.6? Or 11:31  
21 you're not sure?

22 MR. FENSTER: Objection. Vague,  
23 incomplete hypothetical.

24 BY MR. LoCASCIO:

25 Q. With respect to its conspicuity. 11:31

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1 A. So the numbers refer to the 11:31  
2 conspicuity --

3 Q. Yeah.

4 A. -- or the structure?

5 Q. The conspicuity. Let me back up a 11:31  
6 step.

7 A. Okay.

8 Q. You'd agree with me that if two -- if  
9 you were comparing two pixels -- withdrawn.

10 The patent talks about a region of 11:31  
11 interest possibly being a single pixel or  
12 voxel; correct?

13 A. Yes, it does.

14 Q. Okay. And so using that teaching of  
15 the 360 patent that it could be a single pixel 11:31  
16 or voxel, you'd agree with me that a pixel in a  
17 nerve that was call it faint, talking about how  
18 faint that is, and the non-neural tissue right  
19 next to it could -- the difference between  
20 their intensity could be very small. Fair? 11:32

21 MR. FENSTER: Objection. Vague,  
22 incomplete hypothetical.

23 THE WITNESS: I'm not sure I can  
24 follow that questioning. So you  
25 wouldn't pick -- first you have to 11:32

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1 Q. It says at block 122, second 12:06  
2 sentence, line 54, "One or more regions of  
3 interest, ROI, within the image can be  
4 identified. Each ROI may be a single pixel or  
5 voxel, or a larger region." 12:06

6 So you'd agree with me, sir, that the  
7 360 patent tells you you can use a single pixel  
8 or voxel for an ROI; correct?

9 A. Yes. It states that in this sentence  
10 each ROI may be a single pixel or voxel. 12:06

11 Q. Or a larger region; right?

12 A. Yes.

13 Q. It also says that, "ROI selection can  
14 be performed manually using, for example, a  
15 keyboard or mouse to move a cursor over the ROI 12:07  
16 on the displayed image." Right?

17 A. We discussed that earlier, yes.

18 Q. "Alternatively ROI selection may be  
19 accomplished automatically by a sequential  
20 selection of all pixels or via an external 12:07  
21 input regarding a particular region from, for  
22 example, diagnostic system."

23 Did I read that correctly?

24 A. Yes.

25 Q. That's what you cited for the 12:07

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1 sentence in paragraph 18 about how the patent 12:07  
2 teaches to select a region of interest;  
3 correct?

4 MR. FENSTER: Objection. Misstates  
5 the report. 12:07

6 THE WITNESS: I'm sorry. You're  
7 referring back to what I said where?

8 BY MR. LoCASCIO:

9 Q. Paragraph 18 you said, "The patent  
10 teaches selecting a region of interest." 12:07  
11 Correct?

12 A. Yes.

13 Q. And the citation to that is what we  
14 just read?

15 A. The range of -- we kind of talked 12:07  
16 about that earlier, the range of methods that  
17 one does that.

18 Q. And the patent itself says it can be  
19 one of a host of different ways of selecting a  
20 region of interest; correct? 12:08

21 A. It gives examples of three, I guess,  
22 right there.

23 Q. And one is single pixel or voxel, one  
24 is a larger region manually selected, and one  
25 is automatically? 12:08

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1 A. Correct. 12:08

2 Q. Is doesn't say which of those is the  
3 right one to use or which of those to use to  
4 determine conspicuity; correct? It leaves that  
5 up to the operator? 12:08

6 A. Right.

7 Q. And you'd agree with me that  
8 different operators could select different  
9 methods of selecting the region of interest?

10 A. Yes. 12:08

11 Q. You make a point in an earlier  
12 paragraph about the ROI intensity is to be  
13 interpreted or determined by an average  
14 intensity.

15 Do you recall that? 12:08

16 A. In -- well, yes. Any time you do an  
17 ROI, you actually -- if you're including more  
18 than one voxel, you're averaging basically.

19 Q. There's my question which is if it's  
20 a single pixel or voxel which the patent says 12:09  
21 is one method of determining an ROI, can you  
22 take the average of a single pixel or voxel?

23 A. No.

24 Q. Because there's only one data point.

25 Fair? 12:09

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1 A. Yes. 12:09

2 Q. A minute ago you said any time you do  
3 an ROI, you're averaging basically. There are  
4 other ways in imaging to measure or quantify  
5 the intensity in a region of interest. You can 12:09  
6 do a min, max. You can do things other than a  
7 straight average; correct?

8 A. Well, yes, there are mathematical  
9 constructs that -- you can take a mean. You  
10 can take a median. The common parlance of 12:09  
11 average to me is several ways of taking a set  
12 of data points and deciding where you're going  
13 to put your money; right? And the mathematical  
14 subdivisions of that include average in the  
15 pure mathematical sense of the word "average." 12:10  
16 To me the word "average" in this context was  
17 more generic than the strictly mathematical  
18 sense of the word "average."

19 But the general answer to your  
20 question is yes. 12:10

21 Q. Do you think the patent requires  
22 single intensity to be measured by a  
23 mathematical average, or is that term not used  
24 that precisely in the patent?

25 MR. FENSTER: Objection. Vague, 12:10

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1           A.    So it may contain portions of a           12:15  
2           nerve, but that's not the purpose of this image  
3           is to show a nerve under the 360 patent.

4           Q.    No -- nothing in the only image in  
5           your reports that we're looking at here on       12:15  
6           page 13 shows the selection of even a region of  
7           interest in a nerve that's covered by the 360  
8           patent; correct?

9           A.    Not on this image and probably  
10          nothing on my report, correct, other than what   12:15  
11          was submitted as a rebuttal to Dr. Bryan's  
12          images. But those were, I guess, originally  
13          Dr. Filler's images.

14          Q.    And so you have not shown in your  
15          expert report -- your first one. We'll get to   12:16  
16          the rebuttal in a minute. But your opening  
17          expert report, Defendants' Exhibit No. 36, does  
18          not show the selection of a region of interest  
19          in a nerve that is one of the nerves required  
20          of the 360 patent; true?                       12:16

21          A.    That's right. True.

22          Q.    And you have not shown any images  
23          reflecting the uniformity of the signal  
24          intensity for any nerve that falls within the  
25          scope of the 360 patent either, have you?       12:16



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1 MR. FENSTER: Objection. Vague. 12:16

2 THE WITNESS: No.

3 MR. LoCASCIO: Was that knock on  
4 the window the

5 lunch-is-ready-for-team-NeuroGrafix 12:16

6 knock?

7 MR. FENSTER: I think so.

8 MR. LoCASCIO: Let's take a break.

9 We'll take our half hour now.

10 THE VIDEOGRAPHER: The time is 12:17

11 12:17 p.m. We are off the record.

12 (Recess taken from 12:17 p.m. to  
13 12:49 p.m.)

14 THE VIDEOGRAPHER: The time is  
15 12:49 p.m., and we are back on the 12:49

16 record.

17 BY MR. LoCASCIO:

18 Q. Good afternoon, sir.

19 A. Good afternoon.

20 Q. The calculation of conspicuity in the 12:49  
21 360 patent involves using a single image, not a  
22 set of images; correct?

23 A. Yes. I mean I think the calculation  
24 conspicuity in anything involves a single image  
25 including in this patent. 12:50

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1 Q. And so as the patent describes it, 12:50  
2 the determination of selecting the ROIs and the  
3 measure of that conspicuity is to be done on an  
4 image, not a set of images; right?

5 A. Specifically for the calculation of 12:50  
6 conspicuity, and one would use a single image.  
7 To identify a structure, one may use multiple  
8 images, continuous images. But for the  
9 calculation of conspicuity, one would select an  
10 image and the structure on that thing. 12:50

11 Q. And the patent's discussion of  
12 selecting a region of interest for the nerve  
13 and the non-neural tissue is based on doing  
14 that off of a single image; true?

15 A. Yes. 12:51

16 Q. We talked before lunch about the  
17 patent excluding or ignoring noise in its  
18 signal intensity calculations; correct?

19 A. Yes.

20 Q. We also saw that the patent talked 12:51  
21 about an ROI could be a single pixel; right?

22 A. Yes.

23 Q. And sometimes there are pixel or  
24 intensity deviations because of noise. Fair?

25 A. Well, within the pixel, no. I 12:51

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1 would be the most reproducible methodology to 12:59  
2 use as an example. But you'd have you to know  
3 that voxel represents nerve tissue.

4 So you'd have to look at the other  
5 images, see if there's a contiguity from a 12:59  
6 bigger structure down, down, down, oh, yeah, it  
7 connects. This is the voxel representative of  
8 a nerve on this image, and everything else is  
9 black or everything else isn't. So then you  
10 could be a hundred percent sure that voxel has 12:59  
11 the intensity that it does. And you might  
12 select different voxels in the background which  
13 might vary slightly.

14 You know, if it's as bright as you're  
15 postulating, then it would be probably the most 13:00  
16 reproducible way you could have for intra- and  
17 inter-observer calculations.

18 Q. The example you just gave could not  
19 be performed using a single image, could it?

20 MR. FENSTER: Objection. Vague. 13:00

21 BY MR. LoCASCIO:

22 Q. To know that a single pixel was a  
23 nerve in a cross-section?

24 A. If you're presented with a single  
25 pixel in a cross-section and that's the only 13:00

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1 thing that's bright, could that be a nerve? 13:00  
2 You wouldn't know that without using other  
3 parts of the art, if you will, which is knowing  
4 that there's fat saturation and diffusion in  
5 weighting, and something in the next slice was 13:00  
6 also that. So the answer is yeah, but you  
7 wouldn't -- that's not what you would do;  
8 right? That's not what people practice  
9 imaging.

10 Q. There are various points in your 13:01  
11 rebuttal report which we'll look at where you  
12 take issue with things Dr. Bryan says in his  
13 opening report. There are a lot of things you  
14 don't disagree with Dr. Bryan about; correct?

15 A. I'd like to think Nick and I agree on 13:01  
16 most things.

17 Q. Dr. Bryan says there's no industry  
18 standard for selecting ROIs. You don't dispute  
19 that in your report. Do you dispute that as  
20 you sit here today? 13:01

21 A. Well, there's no industry standard  
22 one way of doing it, but most people would do  
23 it the way he did it for selecting regions of  
24 interest, and that's very similar to the way I  
25 would do it and another neuroradiologist or 13:01

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1 radiologist would do it.

13:01

2 So there is a -- even though there  
3 isn't an industry standard that says, "Do it  
4 exactly this way," that's how I interpret the  
5 word "standard" as opposed to "guideline," for 13:02  
6 instance, a lesser prescriptive term. There's  
7 no industry standard.

8 Q. And the patent doesn't set forth a  
9 standard unique to the patent either; correct?

10 A. For selecting -- 13:02

11 Q. For selecting an ROI.

12 A. -- ROI? It discusses -- I think  
13 we've been through this ground. It discusses  
14 several ways of -- possibilities of doing it.

15 Q. But not a standard? 13:02

16 A. A standard implies to me one way;  
17 right?

18 Q. The way to do it if you want to  
19 measure it under the patent. It doesn't give  
20 you that, does it? 13:02

21 MR. FENSTER: Objection. Vague.

22 THE WITNESS: Under the patent? I  
23 don't know if there's a different  
24 connotation to the word standard "in the  
25 legal world under the patent. The 13:02

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1 patent describes methodologies, with an 13:02  
2 S. If that means there's not one  
3 standard way, then it doesn't.

4 BY MR. LoCASCIO:

5 Q. Dr. Bryan says, "When an application 13:03  
6 calls for evaluating image quality or  
7 characteristics based on an ROI, the precise  
8 parameters and protocol for selecting the ROI  
9 are required."

10 Do you agree? 13:03

11 MR. FENSTER: Objection. Vague.

12 THE WITNESS: Run that by me again.

13 BY MR. LoCASCIO:

14 Q. Sure. "when an application calls for  
15 evaluating image quality or characteristics 13:03  
16 based on an ROI, the precise parameters and  
17 protocol for selecting the ROI are required."

18 MR. FENSTER: Objection. Vague,  
19 incomplete hypothetical.

20 THE WITNESS: I don't know. 13:03

21 Required by whom? I've never heard  
22 anybody state that. I don't know what  
23 Nick -- Dr. Bryan was referring to in  
24 that statement.

25

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1 BY MR. LoCASCIO: 13:03

2 Q. Dr. Bryan cites to several articles  
3 that talk about the ROI impacting quantitative  
4 measurements. You'd agree with him that the  
5 selection of the ROI impacts quantitative 13:04  
6 measurements of signal intensity; correct?

7 MR. FENSTER: Objection. Vague.

8 THE WITNESS: Well, I think that  
9 the articles are reversed in the context  
10 of those articles, are research articles 13:04  
11 written for the purposes of explaining a  
12 methodology towards a certain purpose in  
13 the research work and guiding other  
14 researchers as to how to reproduce that  
15 work, I think. I mean that's my sense 13:04  
16 of the context in which he made that  
17 statement or you made that statement.

18 BY MR. LoCASCIO:

19 Q. Do you agree that the method of ROI  
20 definition has a direct influence on 13:04  
21 quantitative outcome? Is that a true statement  
22 or not?

23 MR. FENSTER: Objection. Vague.

24 THE WITNESS: In the purest  
25 mathematical sense, that's a true 13:04

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1 statement.

13:04

2 BY MR. LoCASCIO:

3 Q. And do you believe there's no  
4 practical influence on the quantitative  
5 outcome? Is that the basis for your sort of  
6 hedging on that a little bit?

13:04

7 MR. FENSTER: Objection. Vague,  
8 incomplete hypothetical.

9 THE WITNESS: So yes, I think that  
10 there is a difference between practical 13:05  
11 and purely mathematical. Maybe I can  
12 tell an anecdote to give you -- maybe  
13 it's a little bit off color, but I'll  
14 try to make it -- so if you ask an  
15 engineer and a physicist to approach the 13:05  
16 object of their most intense desire with  
17 a member of their opposite sex and you  
18 tell them you can only go halfway with  
19 each step, the physicist will say or the  
20 mathematician will say, "I'm giving up. 13:05  
21 I'll never get there." And the engineer  
22 will say, "Well, I calculate that in six  
23 steps I'll be there for all practical  
24 purposes."

25 So that's the difference between 13:05



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1 absolute mathematical reasoning, 13:05  
2 quantitative, if you will, and  
3 practical; right? So if I choose to  
4 translate that or if I translate that  
5 into the current context, if I take 13:06  
6 three different ways of selecting region  
7 of interest, I may get to the 1.1  
8 conspicuity threshold 90 percent of the  
9 time with each of the different three  
10 methodologies. That would be the 13:06  
11 practical end result of not having a  
12 standard in a mathematical sense or  
13 quantitative sense for doing the  
14 calculations; right?

15 BY MR. LOCASCIO: 13:06

16 Q. But just as if you could take three  
17 different ways and get to 1.1 each way, you'd  
18 acknowledge that it's possible the math could  
19 work out that you do it once and you get 1.12,  
20 you do it once and you get 1.10, and you do it 13:06  
21 once and you get 1.08. That's possible as  
22 well. Fair?

23 MR. FENSTER: Objection.

24 Incomplete hypothetical.

25 THE WITNESS: Well, I think it's 13:06

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1 I can just tell you that I remember doing some 13:42  
2 editing of this particular language.

3 Q. So your opinion, sir, as set forth  
4 here in your declaration, requires the analysis  
5 of the T2 decay time of the surrounding tissue 13:42  
6 to determine whether or not the claim  
7 limitations are met? That's what you read 3D  
8 as requiring?

9 A. Well, it's --

10 Q. Because you look at the specific 13:42  
11 surrounding tissue being CSF, and you look at  
12 T2 decay, and that's what you're doing here;  
13 correct?

14 A. I'm saying that Hajnal does not fit  
15 the claim language because it shows nerves 13:42  
16 surrounded by tissue, fluid, that has a  
17 substantially longer T2 time.

18 Q. Because it's in the subarachnoid  
19 space?

20 A. By definition, yes. The subarachnoid 13:43  
21 space is the space within which the spinal  
22 fluid lives.

23 Q. Did you know before you put your  
24 report together -- did anybody tell you that  
25 NeuroGrafix argued that cranial nerves should 13:43

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1 be limited to only the portion outside the 13:43  
2 subarachnoid space, and the court said, "No,  
3 that's wrong"? Do you know that?

4 A. As I sit here right now, no, I don't  
5 know that. 13:43

6 MR. LoCASCIO: Let's take a break.

7 THE VIDEOGRAPHER: The time is  
8 1:43 p.m., and we're off the record.

9 (Recess taken from 1:43 p.m. to  
10 1:52 p.m.) 13:52

11 THE VIDEOGRAPHER: The time is  
12 1:52 p.m., and we are back on the  
13 record.

14 BY MR. LoCASCIO:

15 Q. Sir, before the break we had talked 13:52  
16 previously about Dr. Bryan's view that the ROI  
17 definition has a direct influence on  
18 quantitative outcomes.

19 Do you remember that?

20 A. Yes. 13:53

21 Q. You understand that there are various  
22 references in the literature where it is  
23 discussed specifically that the method of ROI  
24 definition has a direct influence on  
25 quantitative outcome for MR. Fair? 13:53

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1           A.    Yes.  It's an internally consistent   13:53  
2           statement.  ROI implies quantitative.

3           Q.    And the 360 patent requires a  
4           quantitative calculation to determine whether  
5           or not you infringe; correct?           13:53

6           A.    Again, the phrase "quantitative  
7           calculation" is a redundancy.  Anything that is  
8           a calculation is quantitative.

9           Q.    My question, then, sir, is the 360  
10          patent requires a quantitative assessment or   13:53  
11          calculation to actually determine if there is  
12          infringement; right?

13          A.    I don't know if -- again, I'm not an  
14          attorney enough to know if the word "requires"  
15          is correct.  I know the component of           13:54  
16          infringement is an ROI calculation, a component  
17          of the infringement.  Whether the patent  
18          requires it or not, I would leave to an  
19          attorney's interpretation.

20          Q.    As one of skill in the art, sir, when 13:54  
21          you read claim 3, if you want to know whether  
22          you're practicing it or not --

23          A.    That's one way of knowing whether I'm  
24          practicing it or not is by doing the  
25          calculation.                           13:54

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1 Q. What's another way? 13:54

2 A. I think we've covered this before.

3 So if I'm using fat saturation,  
4 diffusion-weight imaging for the purposes of  
5 highlighting T2 nerves, to me -- again, I'm not 13:54

6 an attorney -- I would be concerned that I'm  
7 infringing. If I have to resort to doing the  
8 calculation, then maybe -- you know, I don't

9 know. I'd leave that to a legal  
10 interpretation, whether I have to actually do 13:54  
11 the calculation to determine if I'm infringing.

12 So if I do a technique and it shows  
13 the nerve and I do the calculation and it's not  
14 1.1, then I'm not infringing? I don't know.

15 To me I'd be concerned I'm infringing just by 13:55  
16 doing all the other things the patent  
17 discusses.

18 Q. If they show the nerve?

19 A. If they show the nerve.

20 Q. Your expert report -- 13:55

21 A. I figured if I -- can I add on?

22 Q. Go ahead.

23 A. If I did that and I did the

24 calculation and it shows 1.1, then I am

25 definitely infringing. Okay? I think that's 13:55

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1 the addition to what I said. That's my 13:55  
2 understanding of the patent.

3 Q. You think if you performed what you  
4 understand to be the steps of the claims of the  
5 360 patent and saw a nerve as a result of those 13:55  
6 techniques, you might be infringing?

7 A. I might be infringing, yes, that's my  
8 sense.

9 Q. Regardless of what the math actually  
10 came out to be or whether you did it? 13:56

11 A. Well, I think -- so, again, I'd have  
12 to reread the patent exactly. And as I sit  
13 here today, I'm not sure in my own mind whether  
14 that's a requirement as the word you used or  
15 not. 13:56

16 Q. Your expert report handy? It's  
17 Exhibit 36. I'll direct your attention to  
18 page 17. Paragraph 49 says, "Importantly it is  
19 also my opinion that there was no more specific  
20 way within the art to describe the 13:56  
21 'conspicuity' term than the method used in the  
22 claims of the 360 patent."

23 Do you see that?

24 A. Yes.

25 Q. The sentence then -- the next 13:57

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1 sentence says, "MRIs use -- MRI uses ROIs (the 13:57  
2 basic unit of which is picture) volume elements  
3 or pixels (voxels) and their measured signal  
4 intensities to characterize images and  
5 structures." 13:57

6 Did I read that correctly?

7 A. Uh-huh, yes.

8 Q. I want to make sure I understand.

9 The first sentence you say, "There's no more  
10 specific way to describe conspicuity." Are you 13:57  
11 also saying, as a result of that and the next  
12 sentence that follows, that there was no more  
13 specific way in the art to describe how to  
14 select an ROI, or is that not what your opinion  
15 is? 13:57

16 A. In the second sentence?

17 Q. In the first or second. Let me back  
18 up. Is it your opinion, sir, that there was no  
19 more specific way in the art to define the ROI  
20 selection process than what's set forth in the 13:58  
21 360 patent?

22 A. Yes. We talked about -- earlier  
23 about different modalities having different  
24 ways of describing conspicuity, and I don't  
25 think any of them are more specific than any 13:58

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1 other given the relativistic universe of 13:58  
2 context that we covered, I think. So the term  
3 "specific" is the most operative word in that  
4 sentence, and I stand by what that sentence  
5 says. 13:58

6 Q. So am I right that you don't think  
7 there's a more specific way to define the ROI  
8 to be used than what's set forth in the patent?

9 A. No, it says "the conspicuity term."  
10 It talks about conspicuity, no more specific 13:58  
11 way within the art to describe the conspicuity  
12 term, not ROIs.

13 Q. You agree there are more specific  
14 ways to prescribe how to select an ROI than  
15 what's set forth in this patent? 13:59

16 A. Can you be much more prescriptive  
17 about how to select an ROI? Can you say --  
18 yes, you should only use an ROI that's three  
19 pixel by three pixel, and that's it. You can  
20 be more specific in prescribing how, for a 13:59  
21 given purpose, to determine an ROI. But  
22 that's -- okay. That's my answer.

23 (Defendants' Exhibit 39 was marked  
24 for identification.)

25



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1 BY MR. LoCASCIO:

13:59

2 Q. I show you Defendants' Exhibit 39

3 which is an article you cited. The first

4 author is a gentleman by the name of David

5 Bonekamp. I want to direct your attention to 13:59

6 page 4.

7 Do you see at the top it talks about

8 ROIs drawn with two different techniques,

9 polygonal and ellipsoid ROIs?

10 A. I do.

14:00

11 Q. And then it goes on to talk about,

12 for example with respect to the ellipsoid ROIs,

13 where they were placed, how they were spaced,

14 the size of the ROIs was chosen to encompass 16

15 pixels, et cetera. 14:00

16 Do you see all that?

17 A. Yes.

18 Q. Would you agree that's a more

19 specific way to define ROI selection?

20 A. For this specific purpose, yes. 14:00

21 They're comparing two different methodologies

22 of ROI selection; so yes. It's a methodology

23 section of a research article, yes.

24 Q. And the 360 patent does not provide

25 such details for the ROI selection. Agreed? 14:00

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1 A. That's not the purpose of the patent. 14:00

2 Q. I didn't ask you what the purpose  
3 was, sir. I asked you if it described it or  
4 not.

5 A. It does not. 14:00

6 Q. We've talked a lot about 1.1 as  
7 conspicuity. There's a claim of the patent  
8 that talks about a five times conspicuity.

9 Do you recall that?

10 A. I do. 14:01

11 Q. And if you have the patent out which  
12 is probably underneath your stack, it's  
13 Exhibit 11. It's claim 19. I want you to turn  
14 to that. It's column 41.

15 A. Okay. 14:01

16 Q. It says, "The method of claim 18  
17 wherein said data set distinguishes said nerve  
18 from non-neural tissue in the in vivo region so  
19 that said data set describes the nerve as an  
20 intensity at least five times that of the 14:01  
21 non-neural tissue."

22 Do you see that?

23 A. Yes.

24 Q. From your opinion in this case, do  
25 your opinions vis-a-vis 1.1 conspicuity all 14:01

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1       apply with equal force to this claim, the five 14:01  
2       times conspicuity, or is there something unique  
3       about how one measures conspicuity or an ROI  
4       that would distinguish in some way your  
5       opinions with respect to this issue or this 14:02  
6       claim versus the ones we've talked about today?

7           A.    I don't have enough of a context to  
8       answer your question. So maybe you can explain  
9       it a little more specifically.

10          Q.    Sure. For instance, we talked 14:02  
11       earlier about scenarios where three different  
12       measurements or three different radiologists  
13       could look at the same image, and their  
14       measured conspicuities based on the selections  
15       of those ROIs could have some slight 14:02  
16       difference.

17                Do you recall that discussion?

18          A.    Yes.

19          Q.    We talked about it in the context of  
20       being just above 1.1, being at 1.1, and being 14:02  
21       below 1.1.

22                Do you recall that?

23          A.    Yes.

24          Q.    Does this claim which requires that  
25       the intensity be at least five times the 14:02

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1 Q. In your view does it apply to the 14:11  
2 whole nerve that's shown in the image?

3 A. No, not in my view. What we're  
4 talking about here is -- again, I haven't  
5 reread the entire thing. We're talking about 14:11  
6 for the purposes of ROI, you're taking a sample  
7 that represents a whole nerve meaning wholly  
8 being nerve as opposed to a portion nerve and a  
9 portion not nerve.

10 Q. And so when you said a person of 14:11  
11 ordinary skill would not be confused as to  
12 whether to use the whole nerve or some  
13 subsection of the nerve because the claim says  
14 to calculate the conspicuity of the, quote,  
15 nerve, unquote, you're not suggesting there 14:11  
16 that all portions of the nerve shown in the  
17 image need to be included in the ROI, are you?

18 A. I'm not. I'm just saying that some  
19 subsection like the upper half or the lower  
20 half of a long segment that is within the 14:12  
21 boundaries of the nerve, you know, of the whole  
22 nerve.

23 In other words, the region of  
24 interest needs to contain neural tissue that  
25 you're convinced of is neural tissue. It 14:12

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1 doesn't mean the entire length of the nerve. 14:12

2 It doesn't mean the entire segment of the nerve  
3 on the image. It just means for the purposes  
4 of the ROI, that ROI contains wholly nerve.

5 Q. You're comparing in this sentence the 14:12  
6 whole nerve to some subsection of the nerve;  
7 right? That's what this sentence contrasts;  
8 true?

9 A. Meaning a component of the nerve  
10 within a region of interest and a component of 14:12  
11 other tissue within the region of interest.

12 Q. Okay. So when you read this to  
13 yourself, sir, do you agree that this seems to  
14 suggest one of ordinary skill would use the  
15 whole nerve as opposed to some subsection of 14:13  
16 the nerve?

17 A. I think someone -- again, person of  
18 ordinary skill in the art would know how to  
19 interpret that sentence.

20 Q. Okay. You've got Dr. Filler's images 14:13  
21 still in front of you. I want you to look at  
22 figures 5, 6, and 7. Let's start with 5.

23 A. Okay.

24 Q. Do you recall, sir, that Dr. Filler  
25 himself indicated that a single user such as 14:13

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1 Dr. Filler could select ROIs of the same nerve 14:13  
2 or the same non-neural tissue and generate  
3 intensity measurements with some variability?

4 A. I think we've covered that. I don't  
5 know if Dr. Filler -- I can't remember whether 14:14  
6 Dr. Filler himself said that or not, but I'll  
7 take your word for it.

8 Q. And if we look at figures 5, 6, and  
9 7, Dr. Filler measures three things in 5, two  
10 things in 6, and two things in 7. Plexus and 14:14  
11 lung are in all three. The plexus is nerve;  
12 true?

13 A. True.

14 Q. And the lung is non-neural tissue.  
15 Agreed? 14:14

16 A. Yes.

17 Q. And if we start with figure 5,  
18 Dr. Filler's signal mean -- which of those  
19 three I take it is the average since it's mean,  
20 min, and max? 14:14

21 A. Right.

22 Q. Is mean in your view the same thing  
23 as one of skill in the art as average, or are  
24 they different?

25 A. Mean is average, yes. 14:14

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1 Q. His average intensity or signal mean 14:14  
2 for lung is 13.77.

3 Do you see that in figure 5?

4 A. For lung, yes, 13.77.

5 Q. And if we go to figure 6, the next 14:15  
6 figure, he is at lung 16.76.

7 Do you see that?

8 A. Yes.

9 Q. And if we go to figure 7, he measures  
10 19.32 as the mean for the lung. Agreed? 14:15

11 A. Yes.

12 Q. And this demonstrates some degree of  
13 variability between his ROI selections for  
14 those non-neural measurements. Agreed?

15 MR. FENSTER: Objection. 14:15  
16 Misstates.

17 THE WITNESS: It demonstrates some  
18 degree of variability. He's  
19 demonstrating, I think, three different  
20 images. Or is it the same image? Let 14:15  
21 me look.

22 These are kind of faded. You tell  
23 me. Is it the same image he's using for  
24 each of those determinations?

25

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1 BY MR. LoCASCIO:

14:16

2 Q. Do you know, sir, if he uses the same  
3 DICOM data or different DICOM data for those  
4 three?

5 A. It looks to be the same with  
6 different window settings.

14:16

7 Q. So that's different setting applied  
8 by the operator to the data?

9 A. Well, applied by whoever put these  
10 images on the sheet of film but yes.

14:16

11 Q. And --

12 A. So the regions of interest in the  
13 lung appear to be in slightly different  
14 locations. So they may include more connective  
15 tissue, more air sacs. Lung is not a  
16 homogenous tissue. So I'm not surprised  
17 there's some variability in his mean  
18 measurements of lung signal intensity.

14:16

19 Q. And so there's some mean --

20 A. And also the other thing about lung  
21 is it contains air; so there's what's called a  
22 magnetic susceptibility effect. It's a  
23 nonhomogeneous magnetic tissue. One would not  
24 use lung typically as an example. I don't know  
25 why he chose lung. I don't know what his

14:16

14:16



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1 purpose was. 14:17

2 I think the more appropriate  
3 example -- I mean one would normally use scaly  
4 muscle rather than lung knowing, skilled in the  
5 art, air and magnetic susceptible issues with 14:17  
6 air-containing structures could affect signal  
7 intensity.

8 Q. In these three figures that were  
9 Dr. Filler's exhibits to his rebuttal report,  
10 there are three different lung ROIs used in 14:17  
11 comparison to those being the non-neural  
12 tissue. Agreed?

13 A. Yes.

14 Q. And we can recognize the variability.  
15 It goes from 13.7 all the way up to 19.3. 14:17  
16 Agreed?

17 A. Yes.

18 Q. And his plexus neural tissue also  
19 have has some variability from 71 to 76.

20 Do you see that? 14:17

21 A. Yes, less than 10 percent but yes.

22 Q. I want you to look at his conspicuity  
23 calculations now. And that's in the lung row  
24 of 5, do you see "conspicuity mean"? It's the  
25 sixth column. 14:18

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1 A. It says "conspicuity min, max and 14:18  
2 max, min."

3 Q. And one says "mean."

4 A. I see the "mean," yes. Thank you.

5 Q. In figure 5 Dr. Filler found a 14:18  
6 conspicuity of 5.22 in this DICOM data between  
7 the neural being plexus and non-neural tissue  
8 being lung.

9 Do you see that?

10 A. Yes. 14:18

11 Q. So Dr. Filler himself measured this  
12 image and determined a conspicuity greater than  
13 5?

14 A. Right.

15 Q. Under that calculation and the claim 14:18  
16 language we looked at that has the five  
17 times --

18 A. Right.

19 Q. -- this would, all the things being  
20 equal, infringe. Fair? 14:18

21 A. Well, I mean I think this isn't using  
22 the art -- I mean on another set of images  
23 generated by someone who isn't using the art?  
24 Is that what you mean?

25 Q. Sir, with respect to the conspicuity 14:18

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1 limitation, Dr. Filler's calculations here 14:18  
2 would show it satisfies the conspicuity of 5  
3 limitation in claim 19?

4 A. And the 1.1 obviously, yes.

5 Q. Look at figure 6. On the same DICOM 14:19  
6 data, Dr. Filler gets a conspicuity measurement  
7 of 4.56 using different ROIs; correct?

8 A. Yes.

9 Q. And we'll look at the next one,  
10 figure 7. Dr. Filler generates new ROIs and 14:19  
11 gets a conspicuity of 3.80.

12 Do you see that?

13 A. Yes.

14 Q. So at least with respect to this  
15 image, when Dr. Filler himself used three 14:19  
16 different settings on the DICOM data and three  
17 different ROIs. One of them fell over the 5  
18 limitation of claim 19, and two of them fell  
19 below that; right?

20 A. Over or under, yes. 14:19

21 Q. The same data, the same scan,  
22 depending on how you measured it, would satisfy  
23 the 5 limitation or not satisfy it depending on  
24 the selection; true?

25 A. Yes. 14:20

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1 Q. That's what this shows? 14:20

2 A. Yes. It speaks for itself.

3 Q. You looked at Dr. Bryan's images as  
4 well from his report; correct, sir?

5 A. Yes. 14:20

6 Q. I'll hand you what we'll mark as  
7 defendants 41.

8 (Defendants' Exhibit 41 was marked  
9 for identification.)

10 BY MR. LoCASCIO: 14:20

11 Q. And based on some of the earlier  
12 discussion today, I got the sense, sir, that  
13 sometimes you thought Dr. Bryan's ROI  
14 selections were not consistent with the  
15 teachings of the 360 patent, and sometimes they 14:20  
16 were. Is that correct?

17 A. Yes.

18 Q. Can you walk me through the images in  
19 Exhibit C and tell me where you think  
20 Dr. Bryan's ROI placements or sizes, et cetera, 14:21  
21 the selection of ROIs by Dr. Bryan are  
22 consistent with the teachings of the 360 patent  
23 and where they are not? Let me first ask are  
24 you capable of doing that as we walk through  
25 these? 14:21

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1 A. Yes.

14:21

2 Q. Can you do that for me? And perhaps  
3 the easiest way is just to refer to the figure  
4 on the bottom. So the first one is Exhibit C,  
5 figure 1. And the ROIs are conveniently  
6 numbered. So you can just sort of and walk  
7 through them and tell me if they are consistent  
8 with the 360 patent or in your view an opinion  
9 not consistent with the proper selection of an  
10 ROI.

14:21

11 A. Right. So just as an example, ROI  
12 No. 3 -- the selection of ROI No. 3 or No. 2  
13 for that matter, neither one, shows what could  
14 be conceived of as the brightest area on an  
15 image. And Dr. Bryan, I think, would argue  
16 that this is an example of how the patent is  
17 nonspecific or whatever the right term is  
18 because it allows a calculation where  
19 conspicuity of the nerve is actually lower than  
20 the, quote, surrounding, unquote, tissue;  
21 right?

14:22

14:22

22 So to me that, again, is inconsistent  
23 because to me the understanding is you compare  
24 the conspicuity of the nerve with the nearby  
25 adjacent or surrounding tissue. So the more

14:22

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1 volume of non-neural tissue within them. So I 15:00  
2 would have selected the nerve immediately below  
3 the two regions of interest or the same nerve  
4 more proximally or the contralateral nerve  
5 where it's homogeneous and definitive to the 15:00  
6 structure.

7 As for the immediately adjacent or  
8 surrounding non-neural tissue, I think ROI 2 is  
9 better than ROI 1, but it suffices.

10 Q. So 1 and 2 -- 15:00

11 A. I'm sorry. ROI -- let me make sure I  
12 said that. Actually it looks like ROI 2 may  
13 be -- actually it looks like they're partially  
14 volumed in those actually, both of those. I  
15 would have selected muscle tissue, and it that 15:00  
16 actually looks like there's some in-plane  
17 portion of nerve in there because it's all  
18 brachial plexus region. So I would have  
19 selected definitive muscle tissue and not  
20 what could be partially neural -- in fact, it 15:01  
21 is partially neural tissue. He calls it  
22 non-neural. I think it's partially neural.

23 Q. Do you know that or --

24 A. No. It looks -- it certainly looks  
25 that way. 15:01

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1 Q. Is that something that with this 15:01  
2 image standing alone you know for a fact, or  
3 that's your interpretation?

4 A. Well, it certainly looks like as you  
5 go down the trunk it looks like it becomes a 15:01  
6 little bit more into the plane as a nerve. So,  
7 you know, I would not select that wondering --  
8 I think thinking it's partially neural tissue.  
9 I would have selected -- for me the calculation  
10 would have been on the other side, on the left 15:01  
11 side of the spine where there's definitive  
12 nerve and definitive muscle immediately  
13 adjacent.

14 Q. Let's look at figure 7.

15 A. Okay. 15:01

16 Q. Are those nerve ROIs, in your view,  
17 consistent with the teachings of the 360  
18 patent?

19 A. The -- it looks like there's some  
20 freehand drawings on the left side. The upper 15:02  
21 ROI is consistent, and the two on the left are  
22 consistent. I would choose the upper one more  
23 than the lower one, but they're consistent with  
24 it, yes.

25 Q. Okay. And so the two freehand 15:02

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1 drawings on the left -- 15:02

2 A. Not the two. Just the upper one at  
3 most.

4 Q. Okay. What about the ones on the  
5 right? 15:02

6 A. The ones on the right, the uppermost  
7 one is the most optimal. I would have taken it  
8 a little bit further down where there's  
9 discrete nerve. There's that little very  
10 bright spot which could represented the 15:02  
11 vascular structure signal superimposed. I  
12 would have taken it down a little bit lower.

13 If I were measuring for sure nerve  
14 and for sure adjacent tissue, I would measure  
15 just a smidgen further down the nerve on the 15:03  
16 left than the topmost region of interest.

17 Q. That white spot just above and to the  
18 left at like 10 o'clock of the oval, is that  
19 neural or non-neural tissue?

20 A. I think that is brighter and suggests 15:03  
21 to me a vascular structure. I would have to  
22 look at other images, adjacent images, or do  
23 other things to make sure of that. But to me  
24 it looks like there's a composite between  
25 either some spinal fluid and/or a vascular 15:03



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1 structure and a nerve together.

15:03

2 Q. A vascular structure is non-neural;  
3 correct?

4 A. Yes.

5 Q. So right next to that oval is  
6 something that you believe may be non-neural  
7 tissue that's brighter than the oval; correct?

15:03

8 A. It could be some spinal fluid. It  
9 could be some non-neural tissue, yes.

10 Q. And that is brighter or higher  
11 conspicuity --

15:03

12 A. Yes.

13 Q. -- than the nerve next to it?

14 A. It obviously stands out more than

15 what I consider to be the trunk of the nerve. 15:03

16 But I wouldn't use that as nerve signal because  
17 it might give me too high a signal intensity  
18 for the calculation.

19 Q. And ROI 5, the pixel or really small  
20 area, is that within the nerve as taught by the 15:04  
21 360 patent or not?

22 A. You can't -- I don't think you can  
23 use that.

24 Q. Why not?

25 A. Well, because it's too tiny an ROI 15:04

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1 for what is a larger structure, and I think 15:04  
2 that larger structure is not homogenous in that  
3 region. It's partially in and partially out of  
4 the plane of the image.

5 Q. So in your view ROI 5 would not be 15:04  
6 something you would use when trying to measure  
7 an ROI under the 360 patent?

8 A. Correct.

9 Q. Because it's too small?

10 A. And because I'm not sure that it's 15:04  
11 the whole of the nerve as we discussed before.  
12 I think that there is portions -- there may be  
13 portions of that that is non-nerve, and it may  
14 be that that tiny ROI that was chosen is not  
15 within the nerve or does not contain nerve, not 15:05  
16 entirely within the nerve or contains no nerve.

17 Q. Let's look at figure 8, please. All  
18 ten of those ROIs show neural tissue; correct?

19 A. They show portions of neural tissue,  
20 yes. 15:05

21 Q. And all of those portions of the  
22 neural tissue result in, because they're  
23 different ROI selections, different signal  
24 intensities. Fair?

25 A. Yes. Again, the same argument. I 15:05

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1 would select ones I was certain was the 15:05  
2 representative of nerve tissue which in this  
3 case would be ROI No. 7 -- let's see, 6 or 7?  
4 No. 6. That would be my selection.

5 Q. The patent doesn't say use what 15:05  
6 Dr. Brant-Zawadzki says to use. It says it's  
7 for what one of skill in the art might choose.  
8 Is it your opinion, sir, that every person of  
9 skill in the art would choose 6 and not the  
10 others? 15:06

11 A. I think that most people under the  
12 teaching of the patent would choose the most  
13 representative portion of the nerve which to me  
14 would be No. 6 or in the immediate  
15 vicinity of No. 6. 15:06

16 Q. What if you wanted one on the other  
17 side?

18 A. Well, you wouldn't want that because  
19 you want -- by definition under the patent  
20 you'd want the most representative portion of 15:06  
21 the nerve.

22 Q. Are those different nerves, the left  
23 side versus the right side?

24 A. No, they're all within the brachial  
25 plexus. But under the teachings of the patent, 15:06

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1       you'd want the most representative portion of       15:06  
2       what you know is nerve. So even though they're  
3       the same general anatomic structure, you want  
4       the most -- what you're convinced of visually  
5       is the most representative portion of neural       15:06  
6       tissue which to me would be -- of the ones  
7       chosen here, would be No. 6.

8 Q. And are you saying, as you sit here  
9 today under oath, in your view of the patent  
10 all the other ROIs in this are wrong, and they 15:07  
11 could not be used according to the teachings of  
12 the 360 patent?

13           A.    They should not be used by a trained  
14           observer in the art for choosing the most  
15           representative segment of neural tissue on this 15:07  
16           image.

17 Q. And it's your belief that the claims  
18 require to use an ROI that is most  
19 representative of any single nerve on the  
20 image? 15:07

21           A.     That is my understanding of the  
22           patent, yes. For the purposes of documenting  
23           infringement; right?

24 Q. Let me show you what we'll mark as  
25 defense 42. 15:07

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1 (Defendants' Exhibit 42 was marked 15:07

2 for identification.)

3 BY MR. LoCASCIO:

4 Q. We talked about thresholding earlier  
5 today. 15:07

6 A. Yes.

7 Q. This is Dr. Bryan's rebuttal  
8 Exhibit 2. You've seen this before; correct?

9 A. Yes.

10 Q. And this is an example of using a 15:07  
11 software to threshold the brightest portions of  
12 Dr. Filler's Exhibit C; correct?

13 A. Yes.

14 Q. And this shows that at 10 percent,  
15 30 percent, 40 percent, even 50 and beyond, the 15:08  
16 nerve is not shown using a signal intensity  
17 threshold; correct?

18 A. I think at 70 it is. Did you say --  
19 I forgot what you --

20 Q. I got up to 50. 15:08

21 A. Okay. 60, 70, work backwards. So  
22 you got up to -- yeah, 50 I would say you  
23 cannot tell definitive neural tissue.

24 Q. And so the --

25 A. At 60 I think you're beginning to see 15:08

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1 nerve in it. Certainly at 70 you do. 15:08

2 Q. So if you use a threshold to just  
3 take the highest signal intensity, using the  
4 image by Dr. Filler, you don't see the nerve  
5 when you threshold at the top 10, 30, 40, or 15:09  
6 even 50 signal intensity; correct?

7 A. Correct.

8 Q. You don't dispute that analysis or  
9 data, do you?

10 A. Well, no, I don't. There are little 15:09  
11 individual tiny dots which may show nerves.  
12 But you'd have to use other methods to see if  
13 that's really nerve or not. So definitively  
14 just using the threshold image, single image  
15 without a set of images, within that context 15:09  
16 you're right.

17 Q. As you're sitting here today, sir, is  
18 there anything about your opinions that you  
19 think now that we've gone through your  
20 deposition is incorrect and needs to be changed 15:09  
21 or corrected?

22 A. I don't know that I can even think  
23 anymore; so I'd say no.

24 Q. Anything about your answers today  
25 that you believe was inaccurate or needs to be 15:09

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1 corrected?

15:09

2 A. Not as I sit here right now.

3 Q. Have you talked to NeuroGrafix's  
4 lawyers about any questions they want to ask  
5 you or answers they want you to give?

15:10

6 A. We talked about several items that  
7 they raised and I think he's going to ask me.

8 Q. Did you talk about what the questions  
9 would be or what the answers would be?

10 A. We talked about what the questions -- 15:10  
11 the general context of questions might be.

12 Q. Any discussion about what the answers  
13 would be?

14 A. Well, no. I mean I think -- no, I  
15 don't know the answers. It's like region of 15:10  
16 interest sampling as you're trying to suggest.  
17 It will be in the ballpark of opinions I've had  
18 before. They were checking to see if my  
19 opinions were still my opinions. So that's  
20 representative of what the answer you're 15:10  
21 looking for.

22 Q. Did they tell you what to say and  
23 what not to say?

24 A. No.

25 Q. Did you practice it at all? 15:10